

PTO/SB/21 (08-03)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/748,831	
	Filing Date	12/30/2003	
	First Named Inventor	Richard Boyd	
	Art Unit	TBA	
	Examiner Name	TBA	
Total Number of Pages in This Submission	20	Attorney Docket Number	NOR-016CP2/286336.155US1

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Postcard - Forms PTO-1449
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Nancy Wilker
Signature	<i>Nancy Wilker</i>
Date	08/06/2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Janet McKean		
Signature	<i>Janet McKean</i>	Date	08/06/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Boyd, Richard

Examiner: TBA

Serial No.: 10/748,831

Group Art Unit: TBA

Date Filed: December 30, 2003

For: Hematopoietic Stem Cell Gene Therapy

Atty. Docket No.: NOR-016CP2/286336.155US1

CERTIFICATION OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on:

Date: August 6, 2004


Janet McKean

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b)(3), the Applicant herewith submits the references on the attached Form PTO-1449, of which copies are enclosed. It is respectfully requested that the information enclosed be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is asked that the Examiner initial and return a copy of the attached Form PTO-1449 with the next Patent Office Communication.

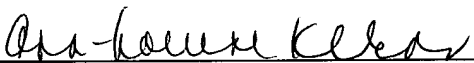
This submission does not represent that a search has been carried out nor does it constitute an admission that the listed documents are material to the patentability of the invention, or that this enclosed art represents prior art. Applicant reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

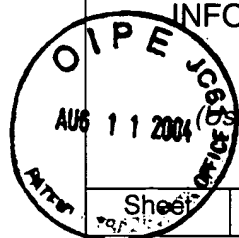
It is the Applicant's belief that the filing of this Information Disclosure Statement precedes the date of the mailing of the first Office Action on the merits, therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed to be due. In the event that any outstanding fee(s) are due, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Date: August 6, 2004

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Agent for Applicants



Subt. For, PTO-1449				Docket Number NOR-016CP2/ 286336.155US1		Application Number 10/748,831	
INFORMATION DISCLOSURE IN AN APPLICATION (Use several sheets if necessary)				Applicant Boyd, Richard			
				Filing Date December 30, 2003		Group Art Unit TBA	
Sheet	1	OF	17				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,972,859	Aug 3, 1976	Fujino et al.			
	3,992,365	Nov 16, 1976	Beddell et al.			
	4,003,884	Jan 18, 1977	Konig et al.			
	4,005,063	Jan 25, 1977	Gendrich et al.			
	4,008,209	Feb 15, 1977	Fujino et al.			
	4,010,125	Mar 1, 1977	Schally et al.			
	4,010,149	Mar 1, 1977	Baba et al.			
	4,018,726	Apr 19, 1977	Schally et al.			

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	76177/91	Nov 19, 1992	Australia				
	56394/94	Sep 1, 1994	Australia				
	181236	May 14, 1986	Europe				
	217659	Apr 8, 1987	Europe				
	364819	Apr 25, 1990	Europe				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
A1	Aboudkhil et al., (1991) <i>Scand. J. Immunol.</i> 34, 647-653	
A2	Akashi et al., (1997) <i>Cell</i> 89(7) 1033-1041	
A3	Ali et al., (2000) <i>Transplantation</i> 69:221	
A4	Alpdogan et al., (2001) <i>Blood</i> 98:2256	
A5	Amado et al., (1999) <i>Front. Biosci.</i> 4:D468-475	
A7	Andersen, (1994) <i>Infect. Immunity</i> , 62:2536	
A8	Andrew et al., (2002) <i>Exp. Gerontol.</i> 37:455	
A9	Andrew, D. and Aspinall, R., (2001) <i>J. Immunol.</i> 166(3): 1524-1530	

EXAMINER	DATE CONSIDERED
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,024,121	May 17, 1977	Schally et al.			
	4,089,946	May 16, 1978	Foell et al.			
	4,100,274	Jul 11, 1978	Dutta et al.			
	4,118,483	Oct 3, 1978	Konig et al.			
	4,128,638	Dec 5, 1978	Moody			
	4,218,439	Aug 19, 1980	Rivier et al.			
	4,234,571	Nov 18, 1980	Nestor et al.			
	4,275,001	Jun 23, 1981	Konig et al.			

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	413209	Feb 20, 1991	Europe				
	7923545	Sep 21, 1979	France				
	2509783	Sep 11, 1975	Germany				
	9112825	Jul 31, 1991	Great Britain				
	9112859	Jul 31, 1991	Great Britain				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
	A10	Aspinall, et al., (2000) <i>Vaccine</i> 18:1629
	A11	Aspinall, R., (1997) <i>J. Immunol.</i> 158:3037
	A12	Atassi et al., (2001) <i>Crit. Rev. Immunol.</i> 21:1
	A13	Atlan-Gepner, C., Naspetti, M., et al., (1999) <i>Autoimmunity</i> 3:249-260
	A14	Bach (1983) <i>Birth Defects Original Article Ser.</i> 19(3): 245-253
	A15	Bahnson et al., (1997) "Method for Retrovirus-Mediated Gene Transfer to CD34+-Enriched Cells," in <u>Gene Therapy Protocols</u> (P.D. Robbins, ed.), Humana Press, pp.249-263
	A16	Banerjee et al., (1994) <i>Stem Cells</i> 12:378

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U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,490,291	Dec 25, 1984	Fujino			
	4,608,251	Aug 26, 1986	Mia			
	4,642,332	Feb 10, 1987	Folkers et al.			
	4,656,247	Apr 7, 1987	Folkers et al.			
	4,775,361	Oct 4, 1988	Jacques et al.			
	4,866,160	Sep 12, 1989	Coy et al.			
	4,992,421	Feb 12, 1991	De et al.			
	5,003,011	Mar 26, 1991	Coy et al.			

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 88/01176	Feb 25, 1988	WO				
	WO 92/19746	Nov 12, 1992	WO				
	WO 93/15722	Aug 19, 1993	WO				
	WO 94/26285	Nov 24, 1994	WO				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
	A17	Basch, Ross S. (1990) <i>Aging: Immunology and Infectious Disease</i> , Vol. 2, No. 4, 229-235
	A18	Batard <i>et al.</i> , (2000) <i>J. Cell. Sci.</i> 113:383-90
	A19	Bauer, G., <i>et al.</i> , (1997) <i>Blood</i> 89:2259-2267
	A20	Belmont and Jurecic (1997) "Methods for Efficient Retrovirus-Mediated Gene Transfer to Mouse Hematopoietic Stem Cells," in <u>Gene Therapy Protocols</u> (P.D. Robbins, ed.), Humana Press, pp. 223-240
	A21	Berzins <i>et al.</i> , (1999) <i>Proc. Natl. Acad. Sci. USA</i> (17): 9787-9791

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U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,061,620	Oct 29, 1991	Tsukamoto et al.			
	5,073,624	Dec 17, 1991	Coy et al.			
	5,144,019	Sep 1, 1992	Rossi et al.			
	5,199,942	Apr 6, 1993	Gillis			
	5,258,492	Nov 2, 1993	Schally et al.			
	5,736,524	Apr. 7, 1998	Content et al.			
	5,399,493	Mar 21, 1995	Emerson et al.			
	5,410,016	Apr 25, 1995	Hubbell et al.			
	5,506,207	Apr 9, 1996	Rivier et al.			

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 94/26877	Nov 24, 1994	WO				
	WO 95/08105	Mar 23, 1995	WO				
	WO 96/14853	Mar 23, 1996	WO				
	WO 96/33281	Oct 24, 1996	WO				
	WO 96/33282	Oct 24, 1996	WO				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
	A22	Berzins, S.P., Boyd, R.L. and Miller, J.F.A.P., (1998) <i>J Exp. Med.</i> 187: 1839
	A23	Bloom and Murray, (1993) <i>Science</i> 257, 1055
	A24	Bodey et al., (1997) <i>In Vivo</i> 11(4): 351-370
	A25	Bodey et al., (1997) <i>In Vivo</i> 11(5): 421-440
	A26	Bolotin et al., (1996) <i>Blood</i> 88:1887
	A27	Bolotin et al., (1999) <i>Bone Marrow Transplant</i> , 23(8): 783-788
	A28	Bonato et al., (1998) <i>Infection and Immunity</i> , 66(1): 169-175

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Sheet	5	OF	17				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,559,703	Sep 24, 1996	Iwata et al.			
	5,614,187	Mar 25, 1997	Sachs			
	5,614,502	Mar 25, 1997	Flotte et al.			
	5,624,823	Apr 29, 1997	Sachs et al.			
	5,643,252	Jul 1, 1997	Waner et al.			
	5,658,564	Aug 19, 1997	Sykes et al.			
	5,658,822	Aug 19, 1997	Wu et al.			

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 98/08533	Mar 5, 1998	WO				
	WO 98/23325	Jun 4, 1998	WO				
	WO 99/29728	Jun 17, 1999	WO				
	WO 99/32619	Jul 1, 1999	WO				
	WO 99/53050	Oct 21, 1999	WO				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
	A29	Bonyhadi, M.L., <i>et al.</i> , (1997) <i>J. Virology</i> 71:4707-4716
	A30	Borremans <i>et al.</i> , (1989) <i>Infect. Immunity</i> 57:3123
	A31	Boyd, R.L., Tucek, C.L., Godfrey, D.I., Wilson, T.J., Davidson, N.J., Bean, A.G.D., Ladyman, H.M., Ritter, M.A. and Hugo, P., (1993) <i>Immunology Today</i> 14:445
	A32	Bruijntjes, J.P., Kuper, C.J., Robinson, J.E. and Schutirman, H.J., (1993) <i>Dev. Immunol.</i> 3:113
	A33	Capecchi, M.R., (1980) <i>Cell</i> 22:479-488
	A34	Carayon, P., and Bord, A., (1992) <i>J. Imm. Methods</i> 147:225

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U.S. Patent Documents						
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	5,658,892	Aug 19, 1997	Flotte et al.			
	5,681,559	Oct 28, 1997	DiGiusto et al.			
	5,736,524	Apr 7, 1998	Content et al.			
	5,741,706	Apr 21, 1998	Leavitt et al.			
	5,811,275	Sep 22, 1998	Wong-Staal et al.			
	5,814,617	Sep 29, 1998	Hoffman et al.			
	5,839,446	Nov 24, 1998	Waner et al.			

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 01/34815	May 17, 2001	WO				
	WO 03/51272	Jun 26, 2003	WO				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
A35	Castle, S.C., (2000) <i>Clin Infect Dis</i> 31(2): 578-585	
A36	Charoenvit <i>et al.</i> , (1991) <i>J. Immunol.</i> 146: 1020	
A37	Coleman <i>et al.</i> , (1989) <i>Ultrasound Med. Biol.</i> 15:213-227	
A38	Consolini <i>et al.</i> , (2000) <i>Clin. Exp. Immunol.</i> 121(3): 444-447	
A39	Davis <i>et al.</i> (1998) <i>Hum. Gene Ther.</i> , p. 1197-207	
A40	Dawe <i>et al.</i> , (1993) <i>Springer Semin. Immunopathol.</i> 14:285	
A41	Denis <i>et al.</i> , (1998) <i>Infection and Immunity</i> , (66)4:1527-1533	

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U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,876,708	Mar 2, 1999	Sachs			
	6,030,833	Feb 29, 2000	Seebach et al.			
	6,056,738	May 2, 2000	Marchitto et al.			
	6,251,099	Jun 26, 2001	Kollias et al.			
	6,296,846	Oct 2, 2001	Sachs et al.			
	6,306,651	Mar 23, 2001	Sachs			
	6,315,772	Nov 13, 2001	Marchitto et al.			
	6,492,145	Dec 10, 2002	Lowrie			

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
	A42	DeWit <i>et al.</i> , (1994) <i>DNA Seq.</i> 4:267
	A43	DiGusto <i>et al.</i> , (1997) <i>Blood</i> 87:1261
	A44	Doria, G., Mancini, C., Utsuyama, M., Frasca, D. and Hirokawa, K, (1997) <i>Mech. Age. Dev.</i> 95: 131-142
	A45	Douek <i>et al.</i> , (2000) <i>Lancet</i> 355:1875
	A46	Douek <i>et al.</i> , (2001) <i>J. Immunol.</i> 167:6663-8
	A47	Douek, D.C., McFarland, R.D., Keiser, P.H., Gage, E.A., Massey, J.M., Haynes, B.F., Polis, M.A., Haase, A.T., Feinberg, M.B., Sullivan, J.L., Jamieson, B.D., Zack, J.A., Picker, L.J. and Koup, R.A., (1998) <i>Nature</i> 396:690
	A48	Dougherty and Parks, (1995) <i>Curr. Opin. Cell Biol.</i> 7:399
	A49	Doukas <i>et al.</i> , (1995) <i>Ultrasound Med. Biol.</i> 21:961
	A50	Dreger <i>et al.</i> , (1993) <i>Blood</i> 81:1404
	A51	Ellis <i>et al.</i> , (2001) <i>Int. Immunol.</i> 13:553-558

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	6,558,663	May 6, 2003	Seebach et al.			

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
	A52	Engel <i>et al.</i> , (1999) <i>Frontiers in Bioscience</i> 4, e26-33
	A53	Erben <i>et al.</i> , (2001) <i>Horm. Metab. Res.</i> (2001) 33:491
	A55	Ernst <i>et al.</i> , (1990) <i>J. Immunol.</i> 145:1295
	A55	Fabris <i>et al.</i> , (1997) <i>Cell Mol. Biol.</i> , 43(4): 529-541
		Fabris and Mocchegiani, (1995) <i>Aging Apr</i> ; 7(2): 77-93
	A56	Fabro <i>et al.</i> , (1990) <i>J. Appl. Phys.</i> 68:775,
	A57	Faith <i>et al.</i> , (1991) <i>Immunol.</i> 74:1
	A58	Faltynek <i>et al.</i> , (1992) <i>J. Immunol.</i> 149:1276
	A59	Fan <i>et al.</i> , (2002) <i>J. Immunol.</i> 168:755-62
	A60	Fearon and Locksley (1996) <i>Science</i> 272:50
	A61	Fernando <i>et al.</i> , (1999) <i>Clin. Exp. Immunol.</i> 115:397
	A62	Fitzpatrick <i>et al.</i> , (1987) <i>J. Endocr.</i> 113, 51-55
	A63	Flurkey <i>et al.</i> , (1992) <i>J. Gerontol.</i> 47:B115
	A64	Flynn <i>et al.</i> , (1992) <i>Proc. Natl. Acad. Sci. USA</i> 89:12013
	A65	Franke <i>et al.</i> , (2000) <i>Infect. Immun.</i> 68:3403
	A66	Fredrickson, G.G. and Basch, R.S., (1994) <i>Development and Comparative Immunology</i> 18:251
	A67	Fry <i>et al.</i> , (2001) <i>Blood</i> 97(6): 1525-1533
	A68	Fry, <i>et al.</i> , (2001) <i>Trends Immunol.</i> 22(10): 564-571
	A69	Garrovillo <i>et al.</i> , (1999) <i>Transplantation</i> 68:1827
	A70	Garrovillo <i>et al.</i> , (2001) <i>Am. J. Transplant.</i> 1:129

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EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	